Harbor Facility Grant Program

Jim Potdevin, Harbor Grants
Juneau Field Office
ADOT&PF Statewide Program Development

October 2, 2019
Home 1979-1981
For FY21:
Number of applications: 8
Tier I, (1 applicant): $5.0 M
Tier II, (7 applicants): $7.1 M
Total amount: $12.1 M
## FY21 (SLA 20) PEB Final

<table>
<thead>
<tr>
<th>Applicant</th>
<th>Project</th>
<th>Tier</th>
<th>Ranking</th>
<th>Requested</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cordova</td>
<td>Small Boat Harbor</td>
<td>I</td>
<td>154.8</td>
<td>$5,000,000</td>
</tr>
<tr>
<td>Homer</td>
<td>SBH Cathodic Protection</td>
<td>II</td>
<td>135.0</td>
<td>$357,120</td>
</tr>
<tr>
<td>Sitka</td>
<td>Eliason Harbor Electrical</td>
<td>II</td>
<td>120.0</td>
<td>$1,500,000</td>
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<tr>
<td>Juneau</td>
<td>Aurora – Phase 3</td>
<td>II</td>
<td>106.0</td>
<td>$2,000,000</td>
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<tr>
<td>Anchorage</td>
<td>South Float Relocation</td>
<td>II</td>
<td>104.5</td>
<td>$2,030,774</td>
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<tr>
<td>Ketchikan</td>
<td>Bar Harbor North Ramp 3</td>
<td>II</td>
<td>103.5</td>
<td>$627,055</td>
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<tr>
<td>Kake</td>
<td>Portage Cove Water/Electric</td>
<td>II</td>
<td>101.5</td>
<td>$505,363</td>
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<tr>
<td>Edna Bay</td>
<td>Floating Breakwater</td>
<td>II</td>
<td>95.0</td>
<td>$125,000</td>
</tr>
<tr>
<td></td>
<td><strong>Total</strong></td>
<td></td>
<td><strong>$12,145,312</strong></td>
<td></td>
</tr>
</tbody>
</table>
Harbor PEB Team

Philanna

Mike L

?
New Suffolk - 1899-1905

FIRST SUBMARINE BASE

This marks the site of the first submarine base in this country where U.S. Holland, first submarine commissioned by U.S. Navy was based for trials. In the period between 1899 and 1905 six other submarines of the Holland Torpedo Boat Co. were based at this site, which was known as the Holland Torpedo Boat Station. Naval maneuvers between submarines and the U.S.S. Torpedo Boat Destroyer "Winslow" of Spanish War fame were held in these waters.
Hull History – 1899-1905

Photo # NH 45937  Submarines at New Suffolk, N.Y., circa 1903
ADOT&PF Ports & Harbors

- Point of Contact for everything but harbor grants:
  - Marcus Zimmerman
    M&O Specialist
    (907) 465-4655
    marcus.zimmerman@alaska.gov
Harbor Facility Grant Program

• Purpose: State funding for construction of small boat harbor projects

• State provides 50/50 matching funds
  ▪ Maximum of $5 million per municipality per year
  ▪ Minimum state match of $50,000

• Projects locally inspired and managed

• Competitive process
  ▪ Harbor PEB to evaluate applications

• Funding is subject to approval of the Alaska Legislature

• http://dot.alaska.gov/stwdplng/ports/index.shtml
The removal, replacement, rehabilitation, or installation of the following items are eligible for funding under this program:

- Pilings and anchors
- Approach structures
- Access ramps and gangways
- Float systems for permanent and transient moorage
- Utility systems integral to the float systems (specifically power, lights, fresh water, and fire suppression systems)
- Access ramps and gangways
- Launch ramps
- Seaplane floats
- Other appurtenances necessary for the basic operation of the harbor facility
- **Floating Breakwaters**
- **ICAP charges**
• Some examples

- **Rubble mound** breakwaters and revetments
- Dredging
- Dikes, groins, and jetties
- Docks for large commercial or tourist vessels (greater than 125 feet LOA)
- Seawalls, bulkheads, sheet pile walls, gabions, and quays
- Commercial and privately owned utility systems, e.g. fuel, on the float systems
- Platform floats for small buildings, restrooms, or commercial retail space

- Access roads and uplands
- Boat houses
- Portable or trailer mounted equipment for fire fighting, sewage pump out, oily bilge water, etc.
- Utilities outside the actual harbor facility, e.g., electrical and sewer lines extensions necessary to bring those services to the harbor facility
- Vessel hoisting machinery
- Landscaping and facility amenities
- Harbormaster offices, buildings, offices, shops, boat yards or storage structures

Not for preventive maintenance!
Stephens Passage
• Requirement: 50% local match
• Federal sources okay (USDOT, Denali Commission, Small Business loans, USDA, etc.)
• Private/native corporations and CDQ’s okay
• Local: cash, bonds, harbor enterprise funds
• Can’t use state funds to match state funds, except:
  ▪ Community revenue sharing program
  ▪ Shared fisheries business taxes
  ▪ Cruise ship head tax funds
  ▪ Deferred harbor maintenance transfer funds
• No work-in-kind for the match
• You need to know which tier you are
• Why? - Statutory preference:
  - Tier I - previous State owned harbor
  - Tier II – harbor never owned by the State
• **One** time for Tier I, **multiple** times for Tier II
• Once a Tier I harbor project is completed, that harbor can only get future Tier II harbor grants
  - Eventually, everyone will be in Tier II status
• **FAQ:**
  - Q: If my harbor is eligible for both a Tier I & II grant, can we apply for a Tier II first? A: yes, you can
  - If unsure whether to go Tier I or II, go with a small Tier II
### Example: How does ranking work?

Total amount requested = $7.8 million

<table>
<thead>
<tr>
<th>Harbor</th>
<th>Tier I or II</th>
<th>Harbor PEB Score</th>
<th>Harbor PEB Ranking</th>
<th>Amount requested</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>I</td>
<td>95</td>
<td>1</td>
<td>$4,500,000</td>
</tr>
<tr>
<td>B</td>
<td>I</td>
<td>80</td>
<td>2</td>
<td>$2,000,000</td>
</tr>
<tr>
<td>C</td>
<td>I</td>
<td>75</td>
<td>3</td>
<td>$1,000,000</td>
</tr>
<tr>
<td>D</td>
<td>II</td>
<td>75</td>
<td>4</td>
<td>$100,000</td>
</tr>
<tr>
<td>E</td>
<td>II</td>
<td>70</td>
<td>5</td>
<td>$200,000</td>
</tr>
</tbody>
</table>
June on the Water
### Example: Who gets funded?

I. Line-by-line, in ranked order

II. No partial funding, only fully funded projects go forward

Example: Amount requested $7.8M, but **AK Legislature funds it at $7.0M**

<table>
<thead>
<tr>
<th>Harbor</th>
<th>Tier I or II</th>
<th>Harbor PEB Ranking</th>
<th>Grant amount requested</th>
<th>Who gets funded</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>I</td>
<td>1</td>
<td>$4,500,000</td>
<td>x</td>
</tr>
<tr>
<td>B</td>
<td>I</td>
<td>2</td>
<td>$2,000,000</td>
<td>x</td>
</tr>
<tr>
<td>C</td>
<td>I</td>
<td>3</td>
<td>$1,000,000</td>
<td></td>
</tr>
<tr>
<td>D</td>
<td>II</td>
<td>4</td>
<td>$100,000</td>
<td>x</td>
</tr>
<tr>
<td>E</td>
<td>II</td>
<td>5</td>
<td>$200,000</td>
<td>x</td>
</tr>
</tbody>
</table>

Available grant funds $7,000,000

Harbor Facility Grant total awarded $6,800,000

Carryover to next year $200,000
How is the grant program doing?

• The Harbor Grant program is popular
  - Municipalities like it
  - Governor and Legislators know and support it…
  - Has received regular funding in the capital budget, even last session

• The need is great
  - Requests total $200 MILLION
  - Awarded $84 MILLION

• Key point: each grant has a doubling effect.
  - FY20 requested = $12.1 MILLION = $24.2 MILLION in projects

• Annual grant funding amount depends on:
  - Projected state finances
  - Discussions the Legislature and the Governor
• **What do you want to do?**
  - Gather local input from users and the public
  - Eligible work?
  - Harbor or Planning Commission approved plan

• **Finances - you need a plan for the $$**
  - Minimum: planning level estimate
  - **Preferred:** 65-95% design
  - If design funding is limited, consider
    - Design-Build
    - Phasing the project over two grants: Whittier, Juneau, Sitka

• **How much local match do you have?**
  - Harbor enterprise fund?
  - City funds available to the harbor?
  - Need more local match?
    - Consider raising harbor fees or voting on a GO bond
    - Can you get additional private or federal match funds via grants or loans

• **Are you going to try for Tier I or II grant?**
Get support

• Advocacy
  - AAHPA and AML resolutions for full funding (annually)
  - Several municipalities provide similar resolutions

• Local
  - Educate your local city leaders and interested parties
  - Talk with state representatives and senators

• Remind decision makers
  - Locally managed projects
  - Local investment is leveraging state dollars
  - Construction dollars create local jobs
  - Also community and regional benefits for commercial fishing boats, recreational boaters, related marine service businesses, and indirect benefits throughout the community.

- Next application cycle opens late May 2020
- Application deadline about **August 1, 2020**
- Harbor PEB scores/ranks projects (Sep 2020)
- Governor releases his budget (mid-December)
- Legislature capital budget (Jan-Apr 2021)
- Governor approves the capital budget (June)
- Harbor grant funds available after July 1, **2021**
What makes a successful application?

- Index/table of contents/tab dividers
- Size = 1-2” binder and “Thumb drive”
- Organized & logical with tabs for each block
- Plans: showing overall harbor layout (existing versus new work), not detailed plans & specs
- **Pictures, pictures, pictures**
- Detailed financial, insurance, & maintenance info
- Local match: backup documentation for city finances, other grants & bonds
- Engineering inspection and planning reports
- Copies of presentations, meeting minutes
- Resolution of support
- Signed application
• Indirect Cost Allocation Plan, Indirect Cost Rate Agreement, Indirect Cost Rate Proposal
• By any name it gets a percent of project funds
• Change in policy
  ▪ Ask for ICAP in your application estimate
  ▪ We account for ICAP in the request to Legislature
  ▪ Change grant agreement, we take ICAP from MUNHB funds
  ▪ Communities get 50/50 agreement
The removal, replacement, rehabilitation, or installation of the following items are eligible for funding under this program:

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- Approach structures
- Access ramps and gangways
- Float systems for permanent and transient moorage
- Utility systems integral to the float systems (specifically power, lights, fresh water, and fire suppression systems)

- Access ramps and gangways
- Launch ramps
- Seaplane floats
- Other appurtenances necessary for the basic operation of the harbor facility
- **Project management costs (grantee and ICAP)**
UPDATE: Police Provide More Details About Fatal Boating Accident Saturday In Peconic Bay
Aug 5, 2019 12:59 PM By Elizabeth Vespe

UPDATE: Sunday, 1 p.m. Detective Lieutenant James Kiernan of the Southampton Town Police Department on Sunday confirmed that the incident occurred between Southold and Southampton in Peconic Bay on a pontoon boat.

Four people were on board at the time of the incident: a man, who was operating the boat; his wife; their 13-year-old niece; and 65-year-old woman who was the cousin of the operator.

The 13-year-old and 65-year-old were being pulled by the boat on a tube. They both fell off, Det. Lt. Kiernan said. The boat came around to pick them up, and as the 13-year-old jumped back onto the boat, the 65-year-old replied that she couldn’t. She was in distress and hanging onto the boat, he said.

At some point, the woman became unconscious. It is unknown at this time if she had some type of medical emergency or what the cause of death is, Det. Lt. Kiernan said. When police responded, the woman was already dead. A medical examiner will be examining the body, and no criminality is apparent.

ORIGINAL STORY: Saturday, 6 p.m.
A 65-year-old woman was pronounced dead on Saturday afternoon after she fell off a tube being pulled by a boat, Southampton Town Police said.

On Saturday at about 1:30 p.m., the Southampton Town Police Department received a 911 call regarding a victim of a boating incident, reportedly in Peconic Bay. The woman and a 13-year-old girl were reported to have fallen off a tube being pulled by a boat, police said in a press release. The young girl was pulled back onto the boat, but the woman was unable to get back into the boat. Police said she possibly suffered a medical condition and became unconscious, according to police.

Southampton Town Police officers, bay constables, Suffolk County Police Aviation and Hampton Bays Ambulance responded to the incident. Suffolk County Aviation was ultimately able to locate the drifting vessel and directed responding marine units to the location where the woman was able to be pulled from the water. The woman was subsequently pronounced dead at the scene. Southampton Town Police detectives are investigating the incident. The identity of the victim is being withheld until family can be notified.
Shinnecock Canal
Shinnecock Canal
Shinnecock Lock
Great Peconic Bay
Questions?

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